

PATENT  
ATTORNEY DOCKET: 200458-0002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Sean EGAN ) Confirmation No.: 5752  
Application No.: 10/010,102 ) Group Art Unit: 3694  
Filed: November 8, 2001 ) Examiner: Daniel L. Greene  
For: SYSTEM AND METHOD FOR )  
ASSIGNMENT RATINGS TO )  
MUTUAL FUNDS AND OTHER )  
INVESTMENT FUNDS )

Commissioner for Patents  
U.S. Patent and Trademark Office  
**Customer Window, Mail Stop Amendment**  
Alexandria, VA 22314

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the document listed on the attached PTO Form 1449. This Information Disclosure Statement (“IDS”) is being filed after the events recited in § 1.97(b) but, to the undersigned’s knowledge, before the mailing date of a Final Action, a Notice of Allowance, or another action that closes prosecution in the above-referenced application. Under the provisions of 37 C.F.R. § 1.97(c), this IDS is accompanied by a fee of \$180.00, as specified by § 1.17(p).

Applicants bring to the attention of the Examiner the cited U.S. application publication. In particular, U.S. Application No. 12/078,395 (Attorney Docket No. 200458-0001), filed March 31, 2008, published as cited U.S. Publication No. 2008/0294570 A1.

Applicants bring to the attention of the Examiner a Double Patenting rejection that issued in the above-mentioned U.S. Application No. 12/078,395 in an Office Action dated September

30, 2009. A copy of the September 30, 2009 Office Action including this Double Patenting rejection is attached for the Examiner's consideration.

Applicants respectfully request that the Examiner consider the listed document and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "Prior Art". If it should be determined that the listed document does not constitute "Prior Art" under the United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

**EXCEPT** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. § 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0573. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

**DRINKER, BIDDLE & REATH LLP**

By:

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Date: January 7, 2010

**Customer No. 55694**

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